03500.014730.1

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re A                             | pplication of:          | )      |                            |
|-------------------------------------|-------------------------|--------|----------------------------|
| HIROSHI OHKURA ET AL.               |                         | :      | Examiner: Not Yet Assigned |
| Div. of Application No.: 10/609,421 |                         | :<br>) | Group Art Unit: 1756       |
| Filed: J                            | July 1, 2003            | :      |                            |
| For:                                | METHOD OF MANUFACTURING | ·<br>) | November 10, 2003          |

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents enclosed.

The Examiner's attention is also directed to the following U.S. Application:

| APPLICATION NO. | <b>FILING DATE</b> | <b>GROUP ART UNIT</b> |
|-----------------|--------------------|-----------------------|
| 10/396,397      | 03/26/03           | 2812                  |

A copy of this Application is enclosed.

The concise explanation of relevance for the non-English documents may be found, inter alia, where cited in the specification of the enclosed Application No. 10/396,397 and/or in the English language abstracts attached thereto. Also, the concise

explanation of relevance for JP 10-121292 may be found in U.S. Patent No. 6,139,713,

which is in the same family as and JP 10-121292 and which is already of record in the

subject application.

As a formal matter, Applicants would like to point out that the concise

explanation of relevance for JP 7-171984, and not for JP 11-200090 as mentioned in the

Information Disclosure Statement filed on July 1, 2003, may be found in the English.

translation, which was provided in the parent application.

**CONCLUSION** 

It is respectfully requested that the above information be considered by the

Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such

information has been considered.

Applicants' undersigned attorney may be reached in our New York office by

telephone at (212) 218-2100. All correspondence should continue to be directed to our

address given below.

Respectfully submitted,

orney for Applicants

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| FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE PECCENTRY  LIST OF REFERENCES CITED BY APPLICANT(S)  (Use several sheets if necessary) |   | ATTY DOCKET NO. 03500.014730.1 |  | APPLICATION NO.<br>10/609,421 |          |                                       |
|--|---|--------------------------------|--|-------------------------------|----------|---------------------------------------|
|  |   |                                |  |                               |          |                                       |
| NOV 2 0 2003 &   |   | ON 5 U 5003                    | FILING DATE  July 1, 2003  GROUP  1756 |                               | 756      |                                       |
|  | \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\  | & TRADEMARK                    | J.S. PATENT DOCUMENTS                  |                               |          |                                       |
| *EXAMINER<br>INITIAL   | DOCUMENT<br>NUMBER  | DATE                           | NAME                                   | CLASS                         | SUBCLASS | FILING DATE<br>IF APPROPRIATE         |
|  |   |                                |  |                               |          |                                       |
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|  |   | FOI                            | REIGN PATENT DOCUMENTS                 | <del></del>                   |          | 704NOL4710N                           |
|  | DOCUMENT<br>NUMBER  | DATE                           | COUNTRY                                | CLASS                         | SUBCLASS | TRANSLATION<br>YES/NO/<br>OR ABSTRACT |
| JP   | 2000-195036   | 07/14/00                       | Japan                                  |                               |          | Abstract                              |
| JP   | 10-121292   | 05/12/98                       | Japan                                  |                               |          | Abstract                              |
|  |   |                                |  | <u> </u>                      |          |                                       |
|  |   |                                |  |                               |          |                                       |
|  |   |                                |  |                               |          |                                       |
| OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)   |   |                                |  |                               |          |                                       |
|  | Hideki Masuda et al., "Fabrication of Gold Nanodot Array Using Anodic Porous Alumina as an Evaporation Mask," 35 <u>Jpn. J. Appl. Phys.</u> L126-L129 (1996). |                                |  |                               |          |                                       |
|  | O. Jessensky et al., "Self-Organized Formation of Hexagonal Pore Arrays in Anodic Alumina," 72(10) Appl. Phys. Lett. 1173-1175 (March 1998).                  |                                |  |                               |          |                                       |
|  |   |                                |  |                               |          |                                       |
|  |   |                                |  |                               |          |                                       |
| EXAMINER   |   |                                | DATE CONSIDERED                        |                               |          |                                       |

| Sheet | 1_ | of _ | _1 |  |
|-------|----|------|----|--|
|       |    |      |    |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.